

To the Chair and Members of the Audit and Governance Committee

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Our ref:

Your ref:

A meeting of the **AUDIT AND GOVERNANCE COMMITTEE** will be held in the Rennes Room, Civic Centre, Paris Street, Exeter at **5.30 pm** on **THURSDAY 26 SEPTEMBER 2013** to consider the following business. If you have an enquiry regarding any items on this agenda, please contact Sharon Sissons, Democratic Services Officer (Committees) on **Exeter 265115.**

Entry to the Civic Centre can be gained through the Customer Service Centre, Paris Street.

Pages

AGENDA

Part I: Items suggested for discussion with the press and public present

1 APOLOGIES

To receive apologies for absence from Committee Members.

2 MINUTES

To sign the minutes of the Audit and Governance Committee held on 26 June 2013.

3 <u>DECLARATIONS OF INTEREST</u>

Councillors are reminded of the need to declare any discloseable pecuniary interests, that relate to business on the agenda, and which have not already been included in the register of interests, before any discussion takes place on the item. Unless the interest is sensitive, you must also disclose the nature of the interest. In accordance with the Council's Code of Conduct, you must then leave the room and must not participate in any further discussions on the item. Councillors requiring clarification should seek the advice of the Monitoring Officer prior to the day of the meeting.

4 LOCAL GOVERNMENT (ACCESS TO INFORMATION) ACT 1985 - EXCLUSION OF PRESS AND PUBLIC

It is considered that the Committee would be unlikely to exclude the press and public during consideration of any of these items on the agenda but, if it should wish to do so, the following resolution should be passed:-

Office of Corporate Manager Democratic & Civic Support				
Civic Centre, Paris Street, Exeter, EX1 1JN	Tel: 01392 277888	Fax: 01392 265593	www.exeter.gov.uk	

RECOMMENDED that, under Section 100A (4) of the Local Government Act
1972, the press and public be excluded from the meeting of the consideration of
the particular item(s) on the consideration that it (they) involve (s) the likely
disclosure of exempt information as defined in the relevant paragraphs of Part 1,
Schedule 12A of the Act.

5	FINANCIAL RESILIENCE	
	The Council's External Auditor Grant Thornton, will present the report - report circulated	5 - 42
6	2012/13 REPORT TO THOSE CHARGED WITH GOVERNANCE (ISA 260) (GRANT THORNTON)	
	To consider the report of the Council's External Auditor, Grant Thornton – report circulated	43 - 78
7	FINAL STATEMENT OF ACCOUNTS 2012/13	
	To consider the report of the Acting Assistant Director Finance – report circulated	79 - 204
8	MANAGEMENT REPRESENTATION LETTER TO THE EXTERNAL AUDITOR IN RESPECT OF THE 2012/13 STATEMENT OF ACCOUNTS)	
	To consider the report of the Council's External Auditor, Grant Thornton – <i>letter circulated</i>	205 - 206
9	INTERNAL AUDIT PROGRESS REPORT	
	To consider the report of the Audit Manager – report circulated	207 - 212
10	CORPORATE GOVERNANCE RISK REGISTER QUARTERLY REVIEW	
	To consider the report of the Corporate Manager Policy, Communications and Community Engagement - report circulated	213 - 224
11	LOCAL GOVERNMENT (ACCESS TO INFORMATION) ACT 1985 - EXCLUSION OF PRESS AND PUBLIC	
	RESOLVED that, under Section 100A94) of the Local Government Act 1972, the press and public be excluded from the meeting during consideration of Appendix B of item 12 on the grounds that it involves the likely disclosure of exempt information as defined in paragraph 3 of Part 1, Schedule 12A of the Act.	
12	CORPORATE GOVERNANCE RISK REGISTER QUARTERLY REVIEW	

To consider Appendix B, of item 10, if required, of the report of the Corporate Manager, Policy Communications and Community Engagement – *report circulated to Members* 225 - 230

(APPENDIX B)

DATE OF NEXT MEETING

The next meeting of the Audit and Governance Committee will be held on Wednesday 27 November 2013 at 5.30 pm

Membership -

Councillor Simon Bowkett (Chair), Councillors Baldwin (Deputy Chair), Branston, Choules, D J Henson, Laws, Leadbetter, Morris, Payne, Ruffle and Spackman

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